



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: GEIS, Christoph, et al. ) Attorney Docket No.: 26,869-01  
Serial No: 09/966,019 ) Art Group Unit:  
Filing Date: September 28, 2001 ) Examiner:  
Title: Methods and Apparatus for Recognizing )  
and Reacting to Denial of Service )  
Attacks on a Computerized Network )

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this paper and any papers referred to herein are being deposited with the U.S. Postal Service, as first class mail, postage prepaid, addressed to the Honorable Commissioner of Patents, Washington, D.C. 20231 on December 28, 2001.

Geralyn M. Vita

Signature

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Honorable Commissioner of Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR §1.97 or §1.98, Applicant in the above-identified application submits this Information Disclosure Statement together with the enclosed form PTO-1449 in order to bring to the Examiner's attention references of which Applicant is aware and which may have some bearing on the examination of this case. A copy of each of the identified prior art items is submitted herewith.

This Information Disclosure Statement is not to be construed as a representation that a search has been conducted by Applicant or that no other material information exists. See 37 CFR §1.97(b). Furthermore, statements describing a particular reference indicate only Applicant's understanding of the teachings of that reference, and are not a representation that the reference has been reviewed completely or that no other pertinent or material information is contained in that reference.

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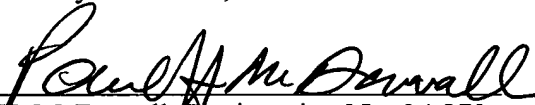
Applicant's submittal of these references or discussion thereof in this IDS or the Background of the specification is not to be construed as an admission that these references are prior art or material to the patentability of any pending claim.

In the event that this Information Disclosure Statement was mailed after the mailing date of the first Office Action on the merits in the above-captioned application, please charge any fee of surcharge necessary for the consideration of this Information Disclosure Statement and enclosed form PTO-1449 to the deposit account of the undersigned firm of attorneys, Deposit Account 12-0449, and notify the undersigned of such charge.

Please direct any questions or comments regarding this application or Information Disclosure Statement to Paul H. McDowall at (952) 896-3220.

Respectfully submitted,

Date: December 28, 2001

  
Paul H. McDowall, Registration No. 34,873  
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728779.1

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 26,869-01	SERIAL NO. 99/966,019
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT: Geis, Christoph, et al.	
(Use several sheets if necessary)		FILING DATE: September 28, 2001	GROUP:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE ISSUED	INVENTOR NAME	U.S. CLASS	U.S. SUB- CLASS	FILING DATE IF APPROPRIATE
	5 0 7 9 7 6 5	1/7/1992	Nakamura	370	85.13	1/3/1990
	5 3 1 5 5 8 0	5/24/1994	Phaal	370	13	8/26/1991
	5 5 7 2 3 5 2	11/5/1996	Carbone, Jr., et al.	359	177	1/23/1995
	5 6 2 7 7 6 6	5/6/1997	Beaven	364	551.01	1/3/1995
	5 6 4 2 2 1 7	6/24/1997	Carbone, Jr., et al.	359	177	1/16/1996
	5 8 9 2 9 2 4	4/6/1999	Lyon, et al.	709	245	1/31/1996
	5 9 0 5 7 8 1	5/18/1999	McHale, et al.	379	93	3/28/1997
	5 9 2 0 7 0 5	7/6/1999	Lyon, et al.	370	409	1/30/1997
	6 3 1 0 8 6 0	10/30/2001	Sheu, et al.	370	252	7/17/1998

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE PUBLI- CATION	COUNTRY	INT. CLASS	INT. SUB- CLASS	TRANSLATION
					YES NO

## OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant	



GP/2161 #5  
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Geralyn M. Vita

Signature

Honorable Commissioner of Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing please find the following:

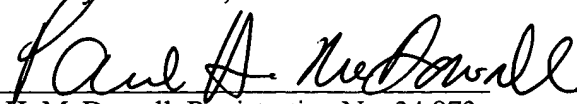
1. Information Disclosure Statement Under Rule 1.56 (2 pages); with attached Form PTO-1449 and copy of references cited;
2. Check in the amount of \$180.00 as filing fee for Information Disclosure Statement; and
3. Return Receipt Post Card.

Please charge any additional fees necessitated by this correspondence, or remit any overpayment, to Deposit Account No. 12-0449.

Please direct any questions or comments to Paul H. McDowall at (952) 896-3220.

Respectfully submitted,

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